Health Service Investigation Final Report

Investigation into Human metapneumovirus outbreak at Herberton Hospital, January 2016

February 2016
# Contents

Foreword ........................................................................................................................................... v  
1. Summary ...................................................................................................................................... 1  
   1.1 Background .......................................................................................................................... 1  
   1.2 Findings .............................................................................................................................. 1  
   1.3 Recommendations .............................................................................................................. 2  
2. The investigation ......................................................................................................................... 4  
   2.1 Purpose of the investigation .............................................................................................. 4  
   2.2 Scope of the investigation ................................................................................................. 4  
   2.3 Methodology ....................................................................................................................... 5  
3. The Herberton Hospital .............................................................................................................. 6  
   3.1 Service capability .............................................................................................................. 6  
   3.2 Staffing .................................................................................................................................. 7  
4. About Human Metapneumovirus ............................................................................................... 7  
   4.1 Institutional outbreaks of laboratory confirmed influenza and influenza-like illness in Queensland ........................................................................................................................................ 8  
5. Outbreak of hMPV at Herberton Hospital .................................................................................. 9  
   5.1 Sequence of key events - Patient deaths ......................................................................... 12  
6. Cairns and Hinterland HHS Response ..................................................................................... 13  
   6.1 Notification to Tropical Public Health Services ............................................................... 13  
   6.2 Response measures .......................................................................................................... 14  
   6.3 Restrictions on admissions, transfers and discharge ...................................................... 16  
   6.4 Management of visitation during the outbreak ............................................................... 17  
   6.5 Limitations to effective isolation and cohorting ............................................................ 17  
   6.6 Internal review processes ................................................................................................. 18  
7. Cairns and Hinterland HHS Preparedness ............................................................................... 19  
   7.1 Regulation and other approaches to infection prevention and control .......... 19  
   7.2 Infection control management plans ............................................................................. 19  
   7.3 Accreditation .................................................................................................................... 20  
   7.4 CHHHS Infection Control Services ................................................................................. 21  
   7.5 Hand hygiene .................................................................................................................. 22  
   7.6 Vaccination ....................................................................................................................... 22  
   7.7 Herberton Hospital local protocols ................................................................................. 23  
8. Media coverage and response ................................................................................................... 24  
9. Findings ...................................................................................................................................... 24  
10. Recommendations ....................................................................................................................... 25  
Appendix 1 – Outbreak management flowchart ........................................................................ 28  
Appendix 2 – Useful resources ..................................................................................................... 29
Foreword

At the request of the Director-General, Department of Health I undertook an investigation into the preparedness and response of Cairns and Hinterland Hospital and Health Service to an outbreak of Human metapneumovirus (hMPV) at the Herberton Hospital. The outbreak was confirmed on 22 January 2016 and was declared over on 18 February 2016.

I delivered an interim investigation report on 12 January whilst the outbreak was still underway. This final report builds on the interim report and gives further consideration to the internal review processes undertaken by CHHHS in response to the outbreak. My final report also includes additional data on known outbreaks of viral respiratory infection that have occurred in residential care facilities in Queensland in 2016.

Also provided as Appendix 2 to this report is an overview of the core resources for residential care facilities to assist in preparedness and response to similar incidents.

On considering the findings of this investigation, it is my view that:

- upon identifying a suspected outbreak of viral respiratory infection, Cairns and Hinterland Hospital and Health Service responded promptly and appropriately, including implementing the appropriate infection control measures to minimise the risk of transmission;
- appropriate actions and precautions were taken following laboratory confirmation of an outbreak of hMPV;
- the care of patients at Herberton Hospital was compliant with the relevant legislation, accreditation standards, policies and procedures;
- there was no admission to Herberton Hospital of any patient with symptoms of viral infection in the month preceding the outbreak;
- CHHHS’ response to the outbreak did not contribute to any increased level of risk to the local community.

Based on the evidence considered in the course of this investigation, I have made the following recommendations that should be considered by all similar facilities.

1. Facilities work to increase rates of annual influenza vaccination for staff and patients in all health facilities, particularly facilities that provide care for immunocompromised and vulnerable patients (e.g. elderly).
   - For residential care facilities the Communicable Diseases Network Australia identifies a target rate of 100% vaccination for residents and 90% for staff.
   - Families and other regular visitors of patients or residents in aged care facilities should also be encouraged to have annual seasonal flu vaccination.

1 Communicable Diseases Network Australia, *A practical guide to assist in the prevention and management of influenza outbreaks in residential care facilities in Australia*, Canberra, CDNA, 2009. pg 10
2. Consistent, regular and easily accessible advice is provided to staff and visitors not to attend care facilities when they have cold or flu-like symptoms to help minimise the potential risk of the spread of infection to staff, patients and other visitors.

   o Staff should be supported to access sick leave when they have cold or flu-like symptoms and discouraged from attending work until symptoms subside.

   o Options for improving visitor access to information include placing signs at entrances and throughout the facility; and publication of advice on public websites. It is noted that this advice is included in the Herberton Hospital information brochure.

3. Local isolation and cohorting procedures should be determined in advance of an outbreak with pre-identified triggers, notification processes, isolation areas and staffing practices.

   o It is noted that the ability of each facility to effectively isolate and cohort patients is highly dependent on the physical design and available infrastructure. Options and effectiveness may also be limited by the clinical and non-clinical care requirements of the patient or resident group e.g. physical relocation of complex dementia patients may result in distress and risk of poor clinical outcomes.

   o Where cohorting and/or isolation procedures are limited, enhanced attention to infection prevention measures are required. This can include ensuring plentiful supply of tissues, bins, alcohol hand gel; enhanced staff observations and support to patients to practice good hand and respiratory hygiene; and more frequent environmental cleaning in areas where sick patients reside.

   o Staff should also implement contact and droplet precautions between each patient

4. Restrict admissions, discharges or inter-facility transfers during a suspected or confirmed outbreak to minimise the risk of spread of the infection.

5. Adequate infection control expertise is made available to facilities to ensure awareness and compliance with established infection control practices to prevent and manage outbreaks; and to support the development of local protocols were required.

   o Hand hygiene and droplet precaution practices should be ingrained in facility procedures with widespread and simple access to hand hygiene facilities and tissues and availability of appropriate personal protective equipment (PPE) such as face masks, as needed.

   o Practices that are associated with increased risk of droplet spread, such as use of nebulisers, should be limited and replaced by use of spacers if clinically appropriate.

   o All staff have knowledge of, and easy access to all relevant documentation relating to infection control and outbreak management
including national, state and local guidelines, standards, procedures and protocols. An overview of key national and state resources is provided at Appendix 2.

6. Access to a rapid public health response with early involvement in outbreak management is ensured by development of local protocols and relationships that encourage early notification to public health units. Easy access to contact details will assist staff who do not have regular contact with PHUs.

7. Where it is deemed appropriate, the HHS engage with the local community and key stakeholders in outbreak management strategies in addition to mandatory notification requirements:

   o During an outbreak, this may include placing signs at entrances and throughout the facility, publication of information and advice on the HHS website, media releases if required, and additional communication with patients’ families.

   o In smaller communities consideration should be given to direct engagement with key local stakeholders such as the Co

   o uncil and local MP, to ensure they are informed of the issue and any developments.

Once again I would like to extend my thanks to those who participated in this investigation, particularly staff from Tropical Public Health Services (Cairns) and Herberton Hospital. I also offer my sincere thanks to the families of residents of Herberton Hospital who took the time to meet with me.

Dr Jeannette Young

Chief Health Officer
Queensland Department of Health
29 February 2016
1. Summary

1.1 Background

On 22 January 2016 Tropical Public Health Services, Cairns (TPHS) confirmed an outbreak of human metapneumovirus (hMPV) at Herberton Hospital within the Cairns and Hinterland Hospital and Health Service (CHHHS).

The outbreak was declared over on 18 February 2016. This determination was made in line with accepted management protocols which require three weeks to pass from the last infection in order for the outbreak to be declared over. As at 18 February there are no staff or patients exhibiting symptoms of viral respiratory infection.

During the course of the outbreak 3 patients of Herberton Hospital and 2 staff members tested positive for hMPV. An additional patient tested positive for respiratory syncytial virus, and other for adenovirus.

Seven patients of Herberton Hospital died between 12 January and 1 February. Five of these residents had symptoms of a respiratory virus. Infection with hMPV may have been a potential precipitating factor in these 5 deaths. Only one of the patients who died was confirmed by laboratory testing as having hMPV infection. All 7 deaths have been reported to the North Queensland coroner by CHHHS.

Herberton Hospital is a 38-bed residential care facility located in the town of Herberton, 91km south-west of Cairns. The hospital provides high care, low care and dementia specific care and promotes a home-like environment.

Reports of the outbreak first appeared in local and statewide media on 23 January 2016, noting the deaths of 4 patients and illness amongst other patients and staff, and citing community concerns regarding the handling of the outbreak and potential ongoing risk.

On 25 January the Director-General, Department of Health appointed the Chief Health Officer, Dr Jeannette Young to conduct a health service investigation into the outbreak.

An interim report, including preliminary recommendations was delivered on 12 January. This final report builds on the interim report and makes final recommendations that should be considered by similar facilities to improve the response and management of future outbreaks.

In accordance with the terms of reference, this final report makes findings relating to preparedness and response of CHHHS to the outbreak, based on the information considered during the course of the investigation. Recommendations have been made in response to these findings.

1.2 Findings

The investigation into the preparedness and response of CHHHS to the outbreak of hMPV at Herberton Hospital in January/February 2016 found that:
Upon identifying a suspected outbreak of viral respiratory infection, Cairns and Hinterland Hospital and Health Service responded promptly and appropriately, including implementing the appropriate infection control measures to minimise the risk of transmission;

- appropriate actions and precautions were taken following laboratory confirmation of an outbreak of hMPV;

- the care of patients at Herberton Hospital was compliant with the relevant legislation, accreditation standards, policies and procedures;

- there was no admission to Herberton Hospital of any patient with symptoms of viral infection in the month preceding the outbreak;

- CHHHS’ response to the outbreak did not contribute to any increased level of risk to the local community.

### 1.3 Recommendations

Based on the findings of the investigation, the following recommendations are made and should be considered by all similar facilities.

1. Facilities work to increase rates of annual influenza vaccination for staff and patients in all health facilities, particularly facilities that provide care for immunocompromised and vulnerable patients (e.g. elderly).

   - For residential care facilities the Communicable Diseases Network Australia identifies a target rate of 100% vaccination for residents and 90% for staff.

   - Families and other regular visitors of patients or residents in aged care facilities should also be encouraged to have annual seasonal flu vaccination.

2. Consistent, regular and easily accessible advice is provided to staff and visitors not to attend care facilities when they have cold or flu-like symptoms to help minimise the potential risk of the spread of infection to staff, patients and other visitors.

   - Staff should be supported to access sick leave when they have cold or flu-like symptoms and discouraged from attending work until symptoms subside.

   - Options for improving visitor access to information include placing signs at entrances and throughout the facility; and publication of advice on public websites. It is noted that this advice is included in the Herberton Hospital information brochure.

---

2 Communicable Diseases Network Australia, *A practical guide to assist in the prevention and management of influenza outbreaks in residential care facilities in Australia*, Canberra, CDNA, 2009, pg 10

3. Local isolation and cohorting procedures should be determined in advance of an outbreak with pre-identified triggers, notification processes, isolation areas and staffing practices.
   - It is noted that the ability of each facility to effectively isolate and cohort patients is highly dependent on the physical design and available infrastructure. Options and effectiveness may also be limited by the clinical and non-clinical care requirements of the patient or resident group e.g. physical relocation of complex dementia patients may result in distress and risk of poor clinical outcomes.
   - Where cohorting and/or isolation procedures are limited, enhanced attention to infection prevention measures are required. This can include ensuring plentiful supply of tissues, bins, alcohol hand gel; enhanced staff observations and support to patients to practice good hand and respiratory hygiene; and more frequent environmental cleaning in areas where sick patients reside.
   - Staff should also implement contact and droplet precautions between each patient.

4. Restrict admissions, discharges or inter-facility transfers during a suspected or confirmed outbreak to minimise the risk of spread of the infection.

5. Adequate infection control expertise is made available to facilities to ensure awareness and compliance with established infection control practices to prevent and manage outbreaks; and to support the development of local protocols were required.
   - Hand hygiene and droplet precaution practices should be ingrained in facility procedures with widespread and simple access to hand hygiene facilities and tissues and availability of appropriate personal protective equipment (PPE) such as face masks, as needed.
   - Practices that are associated with increased risk of droplet spread, such as use of nebulisers, should be limited and replaced by use of spacers if clinically appropriate.
   - All staff have knowledge of, and easy access to all relevant documentation relating to infection control and outbreak management including national, state and local guidelines, standards, procedures and protocols. An overview of key national and state resources is provided at Appendix 2.

6. Access to a rapid public health response with early involvement in outbreak management is ensured by development of local protocols and relationships that encourage early notification to public health units. Easy access to contact details will assist staff who do not have regular contact with PHUs.

7. Where it is deemed appropriate, the HHS engage with the local community and key stakeholders in outbreak management strategies in addition to mandatory notification requirements:
2. The investigation

2.1 Purpose of the investigation

The purpose of this health service investigation is to investigate and report on matters relating to the Cairns and Hinterland Hospital and Health Services’ (CHHHS) preparedness and response to the outbreak of hMPV at Herberton Hospital in January 2016.

2.2 Scope of the investigation

The Chief Health Officer was appointed to investigate and report on matters relating to the management, delivery and administration or public sector health care services relating to CHHHS’ preparedness and response to the outbreak of hMPV at Herberton Hospital in January 2016 including,

a. review and assess the adequacy of any findings and recommendations of reviews, debriefs or similar processes relating to CHHHS’ preparedness and response to the matter, which have been completed by the department or CHHHS, and associated material including reports;

b. conduct any further review necessary of CHHHS’ preparedness for, and responses within and across units, to the matter with a focus on matters pertaining to:
   i. improvements that could have been achieved in CHHHS’ preparedness for, and responses within and across units to the matter; and
   ii. the ways in which the management, administration and delivery of public sector health services involved in similar matters, could be maintained and improved.

c. reviewing the patient records for those patients diagnosed with hMPV;

d. developing a sequence of key events and significant clinical decision-making points relevant to the clinical management of the outbreak of hMPV;

e. reviewing the compliance or non-compliance with legislation, accreditation standards, policies and procedures (State-wide and local) applying in relation to treatment of the patients diagnosed with hMPV and infection control measures;
f. make findings and recommendations regarding:
   i. improvements that could have been achieved in CHHHS’ preparedness for, and responses within and across units to the matter; and
   ii. the ways in which the management, administration and delivery of public sector health services involved in similar matters, could be improved and maintained.

g. submit to the Director-General, a final report that may be released publically, regarding the matters outlined above and identifying key issues, findings and recommendations.

2.3 Methodology

2.3.1 Interviews

Interviews were conducted in person by the Chief Health Officer during a visit to Cairns, Atherton and Herberton on 1-2 February 2016

Interviews were conducted with the Chief Executive and Acting Board Chair, CHHHS; medical and nursing staff from Tropical Public Health Services (Cairns); and medical, nursing, and allied health staff at Herberton Hospital including the Director of Nursing.

Meetings were held with representatives of families of the 4 patients of Herberton Hospital whose deaths were initially reported.

A meeting was also held in Atherton with the Mayor of Tablelands Regional Council.

2.3.2 Documents collected

Under the powers conferred by section 194 of the HBBA, documentation was sought from CHHHS, including documents specifically related to Herberton Hospital and Tropical Public Health Services.

All documentation provided has been taken into consideration, although it may not specifically be referred to in this interim report.

As part of this investigation records were reviewed for the following patients,

- All who died between 12 January and 1 February 2016
- All who displayed symptoms of viral infection

The following additional Herberton Hospital data was reviewed,

- Annual number of deaths 2007-2015
- Admissions records since beginning of December 2015
- Staff sick leave rates January - December 2015

Additional materials and clarification were sought from CHHHS via email and phone during the course of the investigation.
Regard was also given to relevant publicly available documents including national infection prevention and control standards and guidelines.

3. The Herberton Hospital

Herberton Hospital is a 38-bed residential care facility within Cairns and Hinterland Hospital and Health Service (CHHHS). The hospital provides high care, low care and dementia specific care. The hospital promotes a home-like environment with a communal dining and lounge area, and outdoor courtyard and garden.

The existing main hospital building was opened in 1983.

The communal dining and lounge area is air-conditioned however the bedrooms have only natural ventilation.

Recent infrastructure works have been undertaken to provide better access for service delivery and ambulance vehicles and extra visitor parking.

Additional funding has been allocated by CHHHS to enable the hospital to operate at its full capacity.

During visits to Herberton Hospital conducted during the course of this investigation it was immediately evident that, given the age of the facility, it is well maintained and achieves very high levels of cleanliness. This is an important factor in consideration of matters relating to infection control.

3.1 Service capability

The Queensland Health Clinical Services Capability Framework for public and licensed private health facilities (CSCF) describes minimum capability requirements for clinical and support services by service capability level.

The CSCF self-assessment summary for Herberton Hospital lists the following service capability levels:

- Level 2: Medical, medication, palliative care
- Level 1: Pathology

3 Level 1: Provides low-risk ambulatory care clinical services only; Predominantly delivered by health providers such as registered nurses (RN) and/or health workers rather than a general practitioner (GP). However, a visiting GP may intermittently provide a medical service; Patients requiring a higher level of care can be managed for short periods before transfer to a higher level service.

Level 2: Provides low-risk inpatient and ambulatory care clinical services; Delivered mainly by RNs and GPs with admitting rights to the local hospital; Some limited visiting/outreach allied health services provided; Manages emergency care until transfer to a higher level service; May have a university affiliation including an education and teaching commitment.

3.2 Staffing

The Herberton Hospital currently operates with 101 staff, more than half of whom are permanently appointed nurses. A breakdown of staffing numbers is provided below.

Table 1: Herberton Hospital employee headcount as at 2 February 2016.

<table>
<thead>
<tr>
<th></th>
<th>Operational</th>
<th>Nursing</th>
<th>Management/Admin</th>
</tr>
</thead>
<tbody>
<tr>
<td>Permanent</td>
<td>14</td>
<td>52</td>
<td>6</td>
</tr>
<tr>
<td>Casual</td>
<td>9</td>
<td>20</td>
<td>0</td>
</tr>
<tr>
<td>Total</td>
<td>23</td>
<td>72</td>
<td>6</td>
</tr>
</tbody>
</table>

4. About Human Metapneumovirus

Human metapneumovirus (hMPV) is a regular and seasonal cause of viral respiratory infection worldwide. Global studies indicate that most children have been infected by the time they are 5 years old [1]. Children under the age of 2 are particularly susceptible.

The virus was first described in 2001 in the Netherlands with retrospective studies showing that it had been present in human populations for more than 50 years [2].

An initial infection does not ensure future immunity and re-infection can occur throughout life with older patients suffering more frequent re-occurrences [4].

In most cases, adults with hMPV infection experience only mild flu-like symptoms including cough, sore throat, runny nose, nasal congestion and mild fever. Infected children and adults may remain asymptomatic after contracting the virus. However in some cases, particularly in older and vulnerable adults, more severe complications such as severe pneumonia and death have been reported [3]. Between 4% and 5% of severe acute respiratory diseases in adults are understood to be the result of hMPV infection [4].

Symptoms due to hMPV infection cannot be clinically distinguished from those resulting from infection by other respiratory viruses. Laboratory confirmation for a suspected case is the only way to confirm infection and is the other method if other viruses such as influenza and RSV are co-circulating.

Transmission of hMPV is by direct or close contact with the secretions of an infected person, including saliva, droplets or large particle aerosols. The virus is found in excretions 5 to 14 days from the onset of symptoms, and the incubation period between infection and illness onset is estimated to be 4 to 6 days [1].

Accepted precautions to prevent droplet transmission include ongoing hand hygiene, use of personal protective equipment such as face masks and eye protection. In health care facilities, isolation or cohorting of people with relevant symptoms is recommended where possible.

Respiratory tract infections are a significant cause of morbidity and mortality in elderly people living in long-term care facilities [5]. The first reported outbreak of hMPV in an
Aged care facility is reported to have occurred in the Hunter Valley in New South Wales in 2008 [6]. Additional outbreaks in aged care facilities have been reported in Japan, Canada and the United States [7,8,5].

In temperate climates higher rates of viral respiratory infections, including hMPV, are typically experienced during late winter and spring. However, in tropical regions higher infection rates can be expected in the wetter, summer season [9].

Notably, summer outbreaks of hMPV in long-term care facilities have been reported in numerous countries supporting the importance of year-round surveillance for hMPV, particularly in aged care settings [8].

Understanding of the virus has increased significantly in the 15 years since it was first identified. To date there is no approved therapeutic drug or vaccine against hMPV infections, however research into the development of strategies including antivirals and vaccines is progressing [4].

Queensland was one of the first jurisdictions to include the virus in its regular testing panel for respiratory viruses. In 2015 49,074 respiratory virus screens were performed, including for hMPV.

The outcome of hMPV infection is not required to be notified nationally and provided to the Commonwealth National Notifiable Diseases Surveillance System (NNDSS). For this reason comprehensive data on outbreaks of hMPV is unavailable.

### 4.1 Institutional outbreaks of laboratory confirmed influenza and influenza-like illness in Queensland

As stated above, hMPV is not required to be notified nationally. In addition, there is no mandatory requirement for public health units (PHU) to notify the Queensland Department of Health of suspected or confirmed cases within their hospital and health service.

Table 2 below is drawn from data voluntarily reported by PHUs and shows a total of 91 reported outbreaks of influenza and influenza-like illness in Queensland between 2012-2015. Seven of these that were confirmed as hMPV outbreaks.

The reported data indicates that the average time from outbreak to notification to PHUs is 7-9 days.

<table>
<thead>
<tr>
<th>2012</th>
<th>2013</th>
<th>2014</th>
<th>2015</th>
<th>Total</th>
</tr>
</thead>
<tbody>
<tr>
<td>Total</td>
<td>31</td>
<td>8</td>
<td>14</td>
<td>38</td>
</tr>
<tr>
<td>hMPV</td>
<td>2</td>
<td>3</td>
<td>1</td>
<td>1</td>
</tr>
</tbody>
</table>

Notably, to date in 2016 PHUs have been notified of 5 confirmed outbreaks of viral respiratory illness in residential care facilities in Queensland. Details of these outbreaks
Table 3: Reported outbreaks of influenza and influenza-like illness in Queensland in 2016 (as at 18 February 2016)

<table>
<thead>
<tr>
<th>Facility</th>
<th>Total beds</th>
<th>Symptoms of viral respiratory infection</th>
<th>Laboratory confirmed infection</th>
<th>Deaths</th>
<th>PHU Notified</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>Residents</td>
<td>Staff</td>
<td>Residents</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Herberton Hospital (CHHHS) Public residential care</td>
<td>38</td>
<td>13</td>
<td>3 - hMPV 1 - RSV 1 - adenovirus 2 - hMPV 1 - adenovirus</td>
<td>5¹</td>
<td>18/01/16</td>
</tr>
<tr>
<td>Private - Multi Purpose Service (Central Qld region)</td>
<td>24</td>
<td>14</td>
<td>10 - hMPV 1 - adenovirus No specimens taken</td>
<td>0</td>
<td>25/01/16</td>
</tr>
<tr>
<td>Private - Residential aged care (North Qld region)</td>
<td>64</td>
<td>14</td>
<td>6 - hMPV 1 - hMPV</td>
<td>0</td>
<td>25/01/16</td>
</tr>
<tr>
<td>Private - Residential aged care (North Qld region)</td>
<td>192</td>
<td>15</td>
<td>1 - hMPV No specimens taken</td>
<td>0</td>
<td>05/02/16</td>
</tr>
<tr>
<td>Private - Residential aged care (North Qld region)</td>
<td>86</td>
<td>27</td>
<td>2 - RSV 9 - Flu B 5 - Flu B</td>
<td>1²</td>
<td>14/02/16</td>
</tr>
</tbody>
</table>

Notes:
¹ One deceased resident positive for hMPV
² Deceased resident positive for Influenza B

5. Outbreak of hMPV at Herberton Hospital

According to the Australian Guidelines for Prevention and Control of Infection in Healthcare [11] an outbreak may be defined as the occurrence of infections at a rate greater than that expected within a specific geographical area and over a defined period of time.

On 22 January 2016 Tropical Public Health Services, Cairns (TPHS) confirmed an outbreak of hMPV at Herberton Hospital.

The Herberton Outbreak Management Team declared the outbreak over on 18 February 2016 once 3 weeks had passed from onset of symptoms in the last case, without any new infection. As at the 18 February there are no staff or patients exhibiting symptoms of viral respiratory infection.

Data collected during the outbreak indicates a total of 26 people fallen ill with symptoms of viral infection (Table 4). There were 5 confirmed cases of hMPV. An
additional patient tested positive for respiratory syncytial virus (RSV), and other for adenovirus.

**Table 4: Symptomatic and confirmed cases of viral infection – Herberton Hospital, January 2016**

<table>
<thead>
<tr>
<th>Patients</th>
<th>Total symptomatic</th>
<th>Confirmed hMPV</th>
<th>Confirmed other VRI</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>13</td>
<td>3</td>
<td>1 – RSV (+ hMPV)</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td>1 – Adenovirus</td>
</tr>
<tr>
<td>Staff</td>
<td>13</td>
<td>2</td>
<td>1 – Adenovirus</td>
</tr>
</tbody>
</table>

Between 12 January and 1 February, 7 patients of Herberton Hospital died. Five of these patients had symptoms of a respiratory virus. Infection with hMPV may have been a potential precipitating factor in these 5 deaths. Only one of the patients who died was confirmed by laboratory testing as having hMPV infection.

Tables 5 and 6 (pg. 11) provide additional detail on the onset of symptoms, testing and diagnosis of patients and staff respectively, based on case notes and information collated by TPHS.

Figure 1 below shows the dates and numbers of staff and patients who displayed symptoms including temperature, cough, sore throat, runny nose/sneezing, fatigue, myalgia and headache.

The chart also shows the dates of patient deaths between 12 January and 1 February.

**Figure 1: Timeline of staff and patient symptom onset and patient deaths**

Herberton Hospital - Virus outbreak January 2016
### Table 5: Patients - symptom onset and confirmed cases

<table>
<thead>
<tr>
<th>Patient</th>
<th>Symptom onset</th>
<th>Test date</th>
<th>Diagnosis</th>
<th>Co-horted</th>
<th>Date of death</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>02/12/15 ^2</td>
<td>18/01/16 ^4</td>
<td>Neg</td>
<td>N/A</td>
<td>N/A</td>
</tr>
<tr>
<td>2</td>
<td>03/01/16</td>
<td>N/A</td>
<td>N/A</td>
<td>N/A</td>
<td>12/01/16</td>
</tr>
<tr>
<td>3</td>
<td>05/01/16</td>
<td>N/A</td>
<td>N/A</td>
<td>N/A</td>
<td>12/01/16</td>
</tr>
<tr>
<td>4</td>
<td>N/A</td>
<td>N/A</td>
<td>N/A</td>
<td>N/A</td>
<td>13/01/16</td>
</tr>
<tr>
<td>5</td>
<td>06/01/16</td>
<td>25/01/16 ^3, ^5</td>
<td>Neg</td>
<td>N/A</td>
<td>N/A</td>
</tr>
<tr>
<td>6</td>
<td>13/01/16</td>
<td>18/01/16 ^4</td>
<td>Neg</td>
<td>N/A</td>
<td>N/A</td>
</tr>
<tr>
<td>7</td>
<td>14/01/16</td>
<td>18/01/16 ^6</td>
<td>Neg</td>
<td>18/01/16</td>
<td>N/A</td>
</tr>
<tr>
<td>8</td>
<td>15/01/16</td>
<td>18/01/16 ^6</td>
<td>Neg</td>
<td>N/A</td>
<td>18/01/16</td>
</tr>
<tr>
<td>9</td>
<td>15/01/16</td>
<td>18/01/16 ^4</td>
<td>Neg</td>
<td>N/A</td>
<td>N/A</td>
</tr>
<tr>
<td>10</td>
<td>16/01/16</td>
<td>18/01/16 ^4</td>
<td>Neg</td>
<td>N/A</td>
<td>18/01/16</td>
</tr>
<tr>
<td>11</td>
<td>17/01/16</td>
<td>18/01/16 ^4</td>
<td>Neg</td>
<td>18/01/16</td>
<td>N/A</td>
</tr>
<tr>
<td>12</td>
<td>19/01/16</td>
<td>19/01/16 ^4</td>
<td>hMPV</td>
<td>25/01/16</td>
<td>N/A</td>
</tr>
<tr>
<td>13</td>
<td>24/01/16</td>
<td>25/01/16 ^5</td>
<td>Neg</td>
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<td>N/A</td>
</tr>
<tr>
<td>14</td>
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<td>N/A</td>
<td>N/A</td>
<td>N/A</td>
<td>N/A</td>
</tr>
<tr>
<td>15</td>
<td>28/01/16</td>
<td>28/01/16 ^5</td>
<td>hMPV</td>
<td>N/A</td>
<td>01/02/16</td>
</tr>
</tbody>
</table>

**Notes:**

1. Symptoms include temperature, cough, sore throat, runny nose/sneezing, fatigue, myalgia and headache.
2. Date of onset reported as 25/12/15 but no symptoms recorded in notes until 02/01/16.
3. No symptoms recorded in case notes for this date
4. Nasal swabs
5. Nasopharyngeal swabs
6. Adenovirus – group of viruses known to cause upper respiratory infection
7. Respiratory syncytial virus (RSV) – common virus that causes infections of the lungs and respiratory tract.

### Table 6: Staff – symptom onset and confirmed cases

<table>
<thead>
<tr>
<th>Staff member</th>
<th>Symptom onset</th>
<th>Test date</th>
<th>Diagnosis</th>
</tr>
</thead>
<tbody>
<tr>
<td>A</td>
<td>27/12/15</td>
<td>N/A</td>
<td>N/A</td>
</tr>
<tr>
<td>B</td>
<td>01/01/16</td>
<td>N/A</td>
<td>N/A</td>
</tr>
<tr>
<td>C</td>
<td>04/01/16</td>
<td>N/A</td>
<td>N/A</td>
</tr>
<tr>
<td>D</td>
<td>08/01/16</td>
<td>N/A</td>
<td>N/A</td>
</tr>
<tr>
<td>E</td>
<td>08/01/16</td>
<td>19/01/16 ^5</td>
<td>hMPV</td>
</tr>
<tr>
<td>F</td>
<td>08/01/16</td>
<td>19/01/16 ^5</td>
<td>Adenovirus</td>
</tr>
<tr>
<td>G</td>
<td>10/01/16</td>
<td>N/A</td>
<td>N/A</td>
</tr>
<tr>
<td>H</td>
<td>11/01/16</td>
<td>N/A</td>
<td>N/A</td>
</tr>
<tr>
<td>I</td>
<td>14/01/16</td>
<td>N/A</td>
<td>N/A</td>
</tr>
</tbody>
</table>
J 14/01/16 N/A N/A
K 15/01/16 19/01/16 hMPV
L 17/01/16 N/A N/A
M 17/01/16 N/A N/A

Notes:
1 Symptoms include temperature, cough, sore throat, runny nose/sneezing, fatigue, myalgia and headache.
2 Nasopharyngeal swabs
3 Adenovirus – group of viruses known to cause upper respiratory infection

5.1 Sequence of key events - Patient deaths

12 January
On 12 January 2016 two long-term male patients died (Patient #2 and Patient #3). The primary cause of death for both patients aged 92 and 88 respectively, was certified by the treating medical officer as acute bronchopneumonia with viral respiratory infection listed as a secondary cause. No pathology was taken to confirm the presence of viral respiratory infection in either patient.

Clinical records for Patient #2 indicate the onset of symptoms (cough and temperature) on 3 January 2016.

Clinical records for Patient #3 indicate the onset of symptoms (temperature, fatigue) on 5 January. Records and discussion with staff also indicate the presence of cough on feeding due to reduced swallowing capacity.

Patient #2 and #3 were bed bound and records indicate that both had ongoing risk of aspiration pneumonia. This was confirmed in interviews with medical and allied health staff at Herberton Hospital.

Patient #2 and #3 shared the same 4-bed room with two other male patients. Clinical records for one of the other patients in the room (Patient #1) first indicate onset of ILI symptoms on 2 January (runny nose, headache). Cough was recorded on 5 January, and fatigue on 14 January. No fever was recorded at any time. Patient #1 tested negative for viral infection when tested on 18 January (nasal swab) and 21 January (nasopharyngeal swab).

The fourth patient in the room did not display symptoms at any time and no testing was conducted.

13 January
On 13 January a 91-year old long-term female patient died (Patient #4). The cause of death was certified by the treating medical officer as multiple myeloma. Clinical records, and discussion with medical and nursing staff, confirm this patient was in a terminal phase of illness and displayed no ILI symptoms in the days preceding death. As a result, no pathology tests were conducted.

18 January
On 18 January, 2 long-term female patients died - Patient #8, aged 91 and Patient #10, aged 89. The primary cause of death for both patients was certified by the treating medical officer as acute bronchopneumonia with viral respiratory infection listed as a secondary cause.
Clinical records for Patient #8 indicate the onset of symptoms (fever, cough and fatigue) from 15 January.

Clinical records for Patient #10 indicate the onset of cough on 16 January.

Nasal swabs were taken from Patients #8 and #10 on 18 January. Both tested negative to viral infection.

29 January

On 29 January a 97-year old female patient died (Patient #14). Patient #14 had been receiving palliative care and the cause of death was certified by the treating medical officer as gastrointestinal bleed. There is no record of ILI symptoms, and no pathology testing was conducted.

1 February

On 1 February a 79-year old male patient died (Patient #15). Patient #15 had been receiving palliative care. The primary cause of death was certified by the treating medical officer as acute bronchopneumonia with aspiration listed as a secondary cause. Records for Patient #15 indicate the onset of ILI symptoms (cough and temperature) on 28 January. A nasopharyngeal swab was taken for testing on that date. Tests results received on 2 February indicate that Patient #15 was positive for hMPV and RSV.

6. Cairns and Hinterland HHS Response

Residential care facilities are considered to be high-risk environments for outbreaks of influenza, the consequences of which can be serious particularly for vulnerable populations. In the absence of laboratory-confirmed presence of a specific pathogen, guidance from the Communicable Diseases Network Australia (CDNA) [10] is for the response to proceed as a potential influenza outbreak, until confirmed otherwise.

A flowchart for actions required for managing presumed influenza outbreaks in residential care facilities in included in the CDNA Guidelines and has been replicated on the Queensland Health website[^4]. The copy of the flowchart is also provided as Appendix 1 this report.

6.1 Notification to Tropical Public Health Services

On 18 January 2016 the Director of Nursing at Herberton Hospital notified Tropical Public Health Services (Cairns) (TPHS) by phone of the two deaths on 12 January and that 8 patients and 5 patients had shown symptoms of potential influenza-like illness.

The criterion for reporting potential outbreaks of influenza-like illness is the identification of 3 cases within 72 hours. Based on a review of patient records and data collated by TPHS, this case definition may have been met on the evening of Friday 15 January

January. However it is unlikely that this delay had any significant impact on clinical outcomes.

6.2 Response measures


For hMPV droplet precautions are advised for all patients for the duration of illness. Special air handling and ventilation are not required and doors may remain open.

The following measures were implemented by CHHHS in response to the outbreak at Herberton Hospital consistent with national guidelines for outbreak management and use of droplet precautions.

**Cairns and Hinterland HHS – Key response measures to hMPV outbreak**

- Outbreak Management Team established
- No new admissions to, or transfers from, the facility
- Co-horting of symptomatic patients where possible
- Isolation of patients to rooms – no use of communal dining and lounge areas
- Availability of PPE (face masks) for use by staff and visitors
- Advice to families/visitors regarding transmission precautions, including hand hygiene and use of PPE
- Advice to visitors to defer visits if unwell
- Advice to unwell staff to not attend work until well
- Additional staff flu vaccination and use of preventative antiviral medication
- Testing of symptomatic patients and some staff
- Information and advice sent to GPs and Residential aged care facilities

In keeping with national guidelines and standards TPHS initially utilised a standard case definition for influenza that requires the presence of at least 3 symptoms.
**Influenza case definition:**

- sudden onset of fever (≥38°C) PLUS
- cough and/or other respiratory symptoms (e.g. shortness of breath) PLUS
- one or more systemic symptom/s (fatigue, muscle soreness, headache).

**Other symptoms may include**

- sore throat
- stuffy/runny nose.

**Symptoms in the elderly may also include:**

- onset of or increase in confusion
- exacerbation of chronic obstructive pulmonary disease
- loss of appetite.

On 18 January TPHS provided advice via telephone to the Director of Nursing, Herberton Hospital on the management of a potential influenza outbreak including implementation of recommended transmission control measures.

On 19 January medical and nursing staff from TPHS and CHHHS Infection Control Services attended Herberton Hospital—a public health registrar, infection control nurse and two public health nurses (including an immunisation nurse). The public health registrar returned to Herberton on 20 January. During that time assessment and response was concentrated on patients and permanent hospital staff.

An Outbreak Management Team (OMT) was established comprising the Director of Nursing, Herberton Hospital, Director TPHS, public health registrar TPHS, public health nurse and infection control nurse. Regular meetings of the team were held during the outbreak for case review and management. The OMT is also responsible for reviewing the management of the response once the outbreak is over (see section 6.6).

### 6.2.1 Sampling and testing

On 18 January, in response to advice from TPHS staff at Herberton Hospital collected nasal swabs from 7 patients and moved two symptomatic patients to different rooms.

Nasopharyngeal swabs were taken from two Herberton Hospital staff members. A further staff member had a test conducted by a private general practitioner (GP) that returned a positive result. A number of other staff members had attended private GPs following the onset of symptoms but did not undertake any diagnostic testing. This is consistent with accepted practice for symptoms of this nature in otherwise healthy adults.

This investigation notes that initial testing of symptomatic patients was conducted using nasal swabs and that these tests were negative for viral infection including hMPV. Subsequent testing was conducted using nasopharyngeal swabs would be expected to achieve a higher sensitivity to isolation of viruses depending on the laboratory test method used.
6.2.2 Use of antivirals and vaccination

TPHS arranged for supplies of influenza antiviral medication to be sent to Herberton Hospital on the same day, and commenced retrospective collection of data regarding the onset of symptoms in staff and patients in line with the case definition.

52 permanent staff members were offered a preventative dose of influenza antiviral medication. 50 staff members accepted and received the antiviral.

TPHS determined that treatment doses of antivirals were not required as the medication needs to be administered within 48 hours of the onset of symptoms in order to be effective. Only two staff members would have met these criteria.

Of the 52 staff members assessed, 28 had not received a recent vaccination against influenza. Vaccines were subsequently administered by TPHS to 15 of these staff members over 19-20 January. A further 12 declined to be vaccinated and one was unable to attend the hospital to receive the vaccine.

6.2.3 Staff exclusion

Two symptomatic staff members were advised to leave work. The exclusion period for staff was defined as a minimum of 5 days away from work from the onset of symptoms. This advice was provided to staff.

Two staff members (B & G) recovered from initial symptoms but displayed symptoms indicative of reinfection 4 weeks and 2 weeks later, respectively.

6.2.4 Communication with GPs and residential care facilities

On 29 January TPHS sent a letter to all GPs and residential aged care facilities in the CHHHS region, as well as Cape and Torres HHS, advising of the outbreak and providing information on the virus. The letter included a link to the guidelines for prevention and management of influenza outbreaks in residential care facilities. Also provided was a factsheet on hMPV for distribution to patients, residents and family members.

A copy of the letter is provided at Attachment 1, and the factsheet at Attachment 2.

6.3 Restrictions on admissions, transfers and discharge

An important component of infection control procedures is the implementation of restrictions on admissions, transfers and discharges involving the affected facility. These restrictions were implemented at Herberton Hospital on 18 January and remained in place until the current outbreak was declared over on 18 February.

A review of admission records dated to the beginning of December 2015 provide no evidence that any patient was admitted to Herberton Hospital with symptoms of viral infection.
6.4 Management of visitation during the outbreak

Visitation by families and friends is encouraged and facilitated by the staff at Herberton Hospital. It is evident from visiting the facility and discussions with staff and family members, that many families make regular and frequent visits to patients in the hospital and maintain a close relationship with the staff.

In the initial days following notification to TPHS on 18 January of a potential outbreak, the Director of Nursing, Clinical Nurse Coordinator or reception staff spoke in person with visitors to explain the infection control measures that were in place. Visitors were also asked to defer their visit if they had any cold or flu symptoms.

Families who phoned the hospital prior to visiting out of hours were also informed of the situation by nursing staff.

Visitors who were well and wishing to visit symptomatic patients were instructed on the use of PPE (face masks) and hand hygiene by nurses in charge and working in the area.

Families of patients who were symptomatic and/or cohorted within the hospital were notified by phone immediately to advise the status of the family member and about transmission precautions.

From 25 January, the Director of Nursing systematically contacted nominated representatives from families of asymptomatic patients to advise that their family member was not affected by the outbreak at that time, and to explain the virus and infection control measures that had been implemented. Families were provided with contact details for the Director of Nursing and encouraged to contact her directly for any additional information and updates.

6.5 Limitations to effective isolation and cohorting

Australian guidelines recommend that patients on droplet precautions should be placed in single rooms. If there are no private rooms, patients should be cohorted.

‘Cohorting’ refers to the grouping together of individuals suspected or confirmed to be infected with the same pathogen within a specific area in order to minimise the risk of transmission between infected and non-infected individuals.

In some cases room availability and/or facility design means isolation to single rooms and/or cohorting is not possible. In this situation patients should be placed at least 3 feet (0.91m) away from any other patient.

Herberton Hospital is a 38-bed facility with only two single rooms which are allocated based on clinical need. Patients have access to shared bathroom facilities and a communal dining and lounge area.

The current design of the Herberton Hospital significantly limits the ability to effective isolate patients. Additionally, the clinical and non-clinical care needs of the patients population limit some cohorting options. However, the current layout of the shared rooms means that each bed is more than 3 feet (0.91m) apart.
Due to the clinical condition of the two patients currently allocated to single rooms these rooms were unavailable for isolation during this outbreak.

During the recent outbreak all patients were restricted to their rooms and were unable to access the communal dining and lounge areas. The lifting of restrictions on the use of communal areas is particular welcome given Herberton Hospital's commitment to fostering a home-like environment. During visits to the hospital conducted in the course of this investigation it was noted that staff maintained regular one-on-one contact with residents.

6.6 Internal review processes

6.6.1 Outbreak Management Team

Establishment of an Outbreak Management Team (OMT) to oversee and coordinate an outbreak response is included in CDNA guidance for residential care facilities [10] and public health units [12]. An OMT was convened by CHHHS in response to the Herberton outbreak comprising key public health, infection control and facility staff.

Now that the Herberton outbreak has been declared over, the OMT will review the course and management of the outbreak to identify any opportunities for improvement in the response to future similar incidents.

The Herberton OMT has indicated its intention to give particular consideration to exclusion periods for symptomatic staff and staff influenza vaccination rates.

The findings of the Herberton OMT review will be reported to the CHHHS Infection Control Committee for consideration and action as required.

6.6.2 Medical Critical Incident Review Committee – Mortality Review

As part of established clinical governance processes CHHHS conducted a clinician lead review of the 7 deaths that occurred at Herberton Hospital between 12 January and 1 February. The review was conducted in line with a documented internal procedure5 and is consistent with the requirements of the national accreditation standards. The purpose of such reviews is the identification and management of issues impacting upon patient safety and quality of care.

The review found that hMPV could not be attributed as the primary cause of death in any of the 7 cases, but may have been a contributing factor in up to 5 deaths. The review also found there were no process of care issues with the management of patients within the context of the hMPV outbreak.

The review findings have been reported by CHHHS to the North Queensland Coroner.

7 Cairns and Hinterland HHS Preparedness

7.1 Regulation and other approaches to infection prevention and control

Successful management of outbreaks is dependent on the implementation of, and compliance with a robust system for infection prevention and control. Relevant frameworks apply at various levels including,

- **Regulation**—legislation which can be supported by codes of practice, guidelines and protocols that may further articulate required or recommended practice.

- **Standards**—published documents setting out specifications and procedures designed to ensure products, services and systems are safe, reliable and consistently perform the way they were intended. They establish a common language that defines quality and safety criteria. Standards are voluntary consensus documents that are developed by agreement and their application is by choice unless their use is mandated by government or called up in a contract.

- **Accreditation**—health and aged care facilities are required to be accredited against national standards.

- **Guidelines and related advice**—these are generally advisory documents that provide more detailed guidance to practice and, when referenced in legislation, to assist with compliance.

- **Local policies, procedures and protocols**—documents that define processes and arrangements that recognise and respond to the context of the health service organisation in which they apply.

7.2 Infection control management plans

Chapter 4 of the *Public Health Act 2005* (Qld) (the Act) requires every health care facility to have an Infection Control Management Plan (ICMP) for the facility.

The ICMP must identify the infection risks at the facility and detail the measures to be taken to prevent or minimise the risks. All facilities that perform declared health services as defined under the Act must have an existing ICMP and review and update it before offering new declared health services.

In accordance with the Act every HHS is responsible for development and implementation of an ICMP. The ICMP for CHHHS was updated most recently in September 2014 following a risk assessment. The plan is accessible to staff via the Cairns and Hinterland Hospital and Health Service Infection Control Services intranet page\(^6\) [http://qheps.health.qld.gov.au/cairns/html/infect_cont_pro.htm](http://qheps.health.qld.gov.au/cairns/html/infect_cont_pro.htm) [QH Intranet site - Not publicly accessible]

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7.3 Accreditation

All public hospital organisations are required to attain accreditation against the ten National Safety and Quality Health Service (NSQHS) Standards developed by the Australian Commission on Safety and Quality in Health Care (ACSQHC) and implemented from 1 January 2013.

The standards, listed below, aim to protect the public from harm and improve the quality of care provided by health care organisations.

<table>
<thead>
<tr>
<th>National Safety and Quality Health Service (NSQHS) Standards</th>
</tr>
</thead>
<tbody>
<tr>
<td>1. Governance for Safety and Quality in Health Service Organisations</td>
</tr>
<tr>
<td>2. Partnering with Consumers</td>
</tr>
<tr>
<td>3. Preventing and Controlling Healthcare Associated Infections</td>
</tr>
<tr>
<td>4. Medication Safety</td>
</tr>
<tr>
<td>5. Patient Identification and Procedure Matching</td>
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<tr>
<td>6. Clinical Handover</td>
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<tr>
<td>7. Blood and Blood Products</td>
</tr>
<tr>
<td>8. Preventing and Managing Pressure Injuries</td>
</tr>
<tr>
<td>9. Recognising and Responding to Clinical Deterioration in Acute Health Care</td>
</tr>
<tr>
<td>10. Preventing Falls and Harm from Falls</td>
</tr>
</tbody>
</table>

Of particular relevance to this investigation is NSQHS Standard 3 *Preventing and Controlling Healthcare Associated Infections* [13], the intention of which is to minimise the risk for patients in acquiring preventable infections and to enable the effective management of infection when they occur by using evidence-based strategies.

In November 2014 CHHHS underwent an organisation-wide accreditation survey against the EQuIP National Standards that incorporate the NSQHS standards along with 5 additional quality standards.

An Advanced Completion (AC) Review was conducted in February 2015 to consider core actions that were not met at the November 2014 survey. The AC Review determined that all outstanding actions had been satisfactorily met.

With regard to Standard 3, the final accreditation survey report, delivered after the AC Review, notes CHHHS’ systematic approach to infection control via an infection control management plan which has been endorsed by the CHHHS Infection Control (IC) Committee.

The IC Committee, chaired by the Executive Director for Nursing and Midwifery, is responsible for clinical and non-clinical infection control practices across the HHS and reports through the established committee structure. A standing agenda item for each monthly meeting is the review of infection control reports for each inpatient facility including Herberton Hospital.

Additionally, the survey report notes the following improvements related to requirements under Standard 3:
• Increased representation from rural sites on the CHHHS Infection Control (IC) Committee
• All relevant reports for clinical and non-clinical data reported through the IC Committee
• Development of a standardised risk report to communicate current status including surveillance outcome indicators, auditing process indicators, infection control services (e.g. staff screening and vaccinations), and escalation of ICP associated risks.
• Improved IPC audit plan including standard and transmission-based precautions, personal protective equipment and aseptic techniques
• Monthly monitoring by IC Committee of auditing results and status

7.4 CHHHS Infection Control Services

Infection control practices across CHHHS are guided by the Infection Control Management Plan in accordance with NSQHS standards, in particular Standard 3. CHHHS Infection Control Services (ICS) provides education and advice, and is responsible for key aspects of the HHS’ infection control strategy including the staff vaccination program.

CHHHS currently has 8.3 FTE infection control staff, including 1 full time clinical nurse consultant, 3 full time clinical nurses and 1 full time clinical nurse – staff immunisations. The remaining 3.3 FTE are allocated to infection control nurses located at 8 facilities across the HHS, including Herberton Hospital.

7.4.1 Documentation

It is noted that CHHHS Infection Control Services maintains a significant presence on the Queensland Health intranet via the CHHHS site\(^7\) with links to national, state and local documentation including guidelines, meeting minutes, procedures for hand hygiene, outbreak management and risk management, and the CHHHS Infection Control Management Plan.

A sub-page provides access to a suite of infection control procedures and documents developed by CHHHS that are complementary to the relevant national and state guidelines and standards.

Key CHHHS documents relevant to this investigation include,
7.5 Hand hygiene

Hand hygiene is one of the most important aspects of preventing the spread of infection.

The accreditation report notes that CHHHS’ auditing of hand hygiene meets requirements with audits conducted 3 times a year. Results of the 2015 audits are shown below (Table 7) for Herberton Hospital and CHHHS along with average compliance rates for Queensland Health public facilities, and all facilities nationally.

**Table 7: Hand hygiene compliance 2015**

<table>
<thead>
<tr>
<th></th>
<th></th>
<th></th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>Herberton Hospital</td>
<td>78.99%</td>
<td>79.34%</td>
<td>78.01%</td>
</tr>
<tr>
<td>Cairns &amp; Hinterland HHS – all facilities (average)</td>
<td>80.12%</td>
<td>79.72%</td>
<td>79.19%</td>
</tr>
<tr>
<td>Queensland Health – all public facilities (average)</td>
<td>81.0%</td>
<td>80.0%</td>
<td>81.0%</td>
</tr>
<tr>
<td>National – public &amp; private facilities (average)</td>
<td>82.2%</td>
<td>82.8%</td>
<td>83.2%</td>
</tr>
</tbody>
</table>

7.6 Vaccination

Compliance with NSQHS Standard 3 requires that a workforce immunisation program that complies with current national guidelines is in use. As stated above, CHHHS Infection Control Services is responsible for delivery of the staff vaccination program and there is currently one full-time clinical nurse appointed for staff vaccinations.
When TPHS staff attended Herberton Hospital on 19 January 52 staff were assessed. 24 of those staff (48%) had received a current influenza vaccination. Vaccinations were administered to 15 unvaccinated staff, bringing the vaccination rate for that staff cohort to 75%. All but one patient of Herberton Hospital is vaccinated against influenza.

In 2015 work was conducted by TPHS to determine the need for influenza vaccine education in public and private aged care facilities located within CHHHS. This work included an informal survey of patient and staff vaccination rates in each facility. High rates of patient vaccinations were reported by facilities with significantly lower rates for staff, consistent with the situation encountered by TPHS at Herberton Hospital.

The Australian Immunisation Handbook [14] includes influenza in its list of recommended vaccinations for healthcare workers. This is intended not only to protect the worker but also to minimise the risk of a healthcare worker transmitting disease. Maximising protection against vaccine-preventable diseases is especially important in facilities that provide care for immunocompromised and vulnerable patients such as the elderly. It is a recommendation of this report that facilities work to increase rates of annual influenza vaccination for staff and patients in all health facilities.

For residential care facilities the Communicable Diseases Network Australia identifies a target rate of 100% vaccination for residents and 90% for staff [10].

### 7.7  Herberton Hospital local protocols

With regard to infection prevention and control, Herberton Hospital operates under the same requirements as the broader hospital and health service. No specific local protocols or procedures have been implemented. However, the hospital is to be commended on the implementation of a number of local processes to improve the quality of care delivered to patients and the community.

#### 7.7.1  Multi-disciplinary case review

In line with EQUIP National Standard 12 – *Ongoing assessment and care planning*, Herberton Hospital has implemented a system of multidisciplinary clinical case review for each patient involving medical, nursing and allied health staff. Reviews are conducted at least annually, with additional ad hoc reviews if required. This process is documented in a work instruction, ‘*Multidisciplinary team clinical case review – CHHHS, Herberton Hospital*’.

Residents’ families are invited to participate in the case review process to ensure collective input and engagement in the care of each patient.

Following each case review, reports are appended to the resident’s clinical record and care plans are updated by primary nurses responsible for the patient.

In visits to the hospital conducted in the course of this investigation, and in discussion with medical, nursing and allied health staff, there is evidence of a strong commitment to delivering high quality, multi-disciplinary care that contributes significantly to patients’ quality of life.
7.7.2 Herberton Clinical Improvement Committee

The Herberton Clinical Improvement Committee is convened monthly and chaired by the Director of Nursing, Herberton Hospital. The committee’s stated objectives are to “enhance and maintain safe practice standards and service delivery targets for Herberton Hospital, Ravenshoe and Mt Garnett Health Clinic and; support a culture that strives to achieve outstanding clinical practice in a rural health setting”.

Key aims of the committee are to ensure alignment of local quality improvement systems and processes with the broader HHS, and to provide education related to accreditation activities specific to the hospital and health centres generally.

Standing agenda items for each meeting include updates on each of the national accreditation standards, including monthly rural facility infection control reports. These reports are also submitted to the CHHHS Infection Control Committee.

8. Media coverage and response

In late January 2016, there was extensive local media coverage on the outbreak. The first media reports between 23 and 25 January, focused on the decision of CHHHS to not issue a community alert for the outbreak of hMPV and further concerns were also raised about the appropriateness of the level of engagement by Dalrymple MP Shane Knuth and Tablelands Mayor Rosa Lee Long.

Further media coverage between 26 and 30 January, provided comments from family members of patients who had died during the outbreak on the need for those sick patients to be isolated within the facility.

On 3 February CHHHS issued a media statement confirming the deaths of 7 patients at Herberton Hospital, and notification to the North Queensland coroner of all 7 deaths. This statement also noted the commencement of this health service investigation.

9. Findings

The investigation into the preparedness and response of CHHHS to the outbreak of hMPV at Herberton Hospital in January/February 2016 found that:

- upon identifying a suspected outbreak of viral respiratory infection, Cairns and Hinterland Hospital and Health Service responded promptly and appropriately, including implementing the appropriate infection control measures to minimise the risk of transmission;
- appropriate actions and precautions were taken following laboratory confirmation of an outbreak of hMPV;
- the care of patients at Herberton Hospital was compliant with the relevant legislation, accreditation standards, policies and procedures;
- there was no admission to Herberton Hospital of any patient with symptoms of viral infection in the month preceding the outbreak;
• CHHHS’ response to the outbreak did not contribute to any increased level of risk to the local community.

10. Recommendations

The symptomatic similarity of hMPV with other respiratory virus infections, and lack of any specific treatment options supports the use of a generic approach to management of respiratory infections. This reinforces consistent behaviours and common arrangements that strengthen preparedness for other potentially serious infections that can cause outbreaks in aged care facilities (e.g. outbreaks of seasonal influenza or Respiratory Syncytial virus). It also minimises the potential risk of confusion if there are disease specific arrangements.

As such these recommendations, while based on the experience of hMPV, are deliberately generic and extend across the spectrum of respiratory infection.

Based on the findings of the investigation, the following recommendations are made and should be considered by all similar facilities.

1. Facilities work to increase rates of annual influenza vaccination for staff and patients in all health facilities, particularly facilities that provide care for immunocompromised and vulnerable patients (e.g. elderly).
   o For residential care facilities the Communicable Diseases Network Australia identifies a target rate of 100% vaccination for residents and 90% for staff.\textsuperscript{8}
   o Families and other regular visitors of patients or residents in aged care facilities should also be encouraged to have annual seasonal flu vaccination.

2. Consistent, regular and easily accessible advice is provided to staff and visitors not to attend care facilities when they have cold or flu-like symptoms to help minimise the potential risk of the spread of infection to staff, patients and other visitors.
   o Staff should be supported to access sick leave when they have cold or flu-like symptoms and discouraged from attending work until symptoms subside.
   o Options for improving visitor access to information include placing signs at entrances and throughout the facility; and publication of advice on public websites. It is noted that this advice is included in the Herberton Hospital information brochure.

\textsuperscript{8} Communicable Diseases Network Australia, \textit{A practical guide to assist in the prevention and management of influenza outbreaks in residential care facilities in Australia}, Canberra, CDNA, 2009.pg 10
3. Local isolation and cohorting procedures should be determined in advance of an outbreak with pre-identified triggers, notification processes, isolation areas and staffing practices.
   - It is noted that the ability of each facility to effectively isolate and cohort patients is highly dependent on the physical design and available infrastructure. Options and effectiveness may also be limited by the clinical and non-clinical care requirements of the patient or resident group e.g. physical relocation of complex dementia patients may result in distress and risk of poor clinical outcomes.
   - Where cohorting and/or isolation procedures are limited, enhanced attention to infection prevention measures are required. This can include ensuring plentiful supply of tissues, bins, alcohol hand gel; enhanced staff observations and support to patients to practice good hand and respiratory hygiene; and more frequent environmental cleaning in areas where sick patients reside.
   - Staff should also implement contact and droplet precautions between each patient

4. Restrict admissions, discharges or inter-facility transfers during a suspected or confirmed outbreak to minimise the risk of spread of the infection.

5. Adequate infection control expertise is made available to facilities to ensure awareness and compliance with established infection control practices to prevent and manage outbreaks; and to support the development of local protocols were required.
   - Hand hygiene and droplet precaution practices should be ingrained in facility procedures with widespread and simple access to hand hygiene facilities and tissues and availability of appropriate personal protective equipment (PPE) such as face masks, as needed.
   - Practices that are associated with increased risk of droplet spread, such as use of nebulisers, should be limited and replaced by use of spacers if clinically appropriate.
   - All staff have knowledge of, and easy access to all relevant documentation relating to infection control and outbreak management including national, state and local guidelines, standards, procedures and protocols. An overview of key national and state resources is provided at Appendix 2.

6. Access to a rapid public health response with early involvement in outbreak management is ensured by development of local protocols and relationships that encourage early notification to public health units. Easy access to contact details will assist staff who do not have regular contact with PHUs.

7. Where it is deemed appropriate, the HHS engage with the local community and key stakeholders in outbreak management strategies in addition to mandatory notification requirements:
During an outbreak, this may include placing signs at entrances and throughout the facility, publication of information and advice on the HHS website, media releases if required, and additional communication with patients’ families.

In smaller communities consideration should be given to direct engagement with key local stakeholders such as the Council and local MP, to ensure they are informed of the issue and any developments.
Appendix 1 – Outbreak management flowchart

OUTBREAK MANAGEMENT FLOWCHART

actions required for managing (presumed) influenza outbreaks in residential care facilities

OUTBREAK RESPONSE ACTION

INFORMATION

Suspect influenza outbreak

Trigger for potential influenza outbreak alert –
Three or more cases of influenza-like illness within 72 hours.

Implement additional infection control measures immediately

Inform the Infection Control Advisor
Name:
Tel:
Email:

Arrange laboratory testing

• Start a case list using a Daily Line Listing
• Use separate form for staff and residents
• Attach pathology results to Daily Line Listings as soon as received

Collate information

Inform the Public Health Unit
Tel:
Fax:
Email:

Notify Public Health Unit (PHU)
(As soon as practicable, but within 24hrs)

Nominate Outbreak Coordinator
Name:
Tel:
Email:

Declare an outbreak and establish Outbreak Management Team (OMT)

Daily before midday
• Complete Daily Line Listing
• Email or Fax to PHU

Daily monitoring of outbreak progress

When no new cases for 8 days from onset of symptoms in last case
• Complete Final Daily Line Listing
• Fax to PHU
• Evaluate management of the outbreak

Update PHU

End of outbreak

Report the following events to the PHU within 24 hours:
• Admission to hospital of a resident or staff member
• Death of a resident or staff member

Notes: For further clarification of the actions in the flow chart, refer to relevant section of the guidelines (Chapter 5). Several actions may be proceeding simultaneously, especially early in the outbreak.

Appendix 2 – Useful resources

The following list is provided as an overview of key national and state resources to assist residential care facilities. These resources are subject to change and it is the responsibility of the facility to ensure compliance with all applicable requirements.

All listed resources are available publically via the links provided. Full text of NHMRC and CDNA guidelines, and contact details for Queensland public health units are also provided as attachments to this report.

<table>
<thead>
<tr>
<th>National resources</th>
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<tbody>
<tr>
<td><strong>Regulation</strong></td>
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<tr>
<td><strong>Accreditation</strong></td>
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<tr>
<td><strong>National Guidelines</strong></td>
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<tr>
<td><strong>Immunisation</strong></td>
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<tr>
<td><strong>Information Kits</strong></td>
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</table>
### Queensland Health resources

#### Diseases and Infection Prevention


#### Notifiable conditions


#### Public Health Units


#### Residential aged care facilities

Attachment 1 – Letter to GPs and residential aged care facilities

Dear Doctor,

Human Metapneumovirus in Residential aged care facilities

As you may have heard, there have been two outbreaks of human Metapneumovirus in Residential aged care facilities on the Atherton Tablelands. Please find attached an information sheet regarding human Metapneumovirus for patients and families of residents.

Outbreaks of HMPV in residential aged care facilities have previously been reported, however this is the first time such an outbreak has been reported in far North Queensland. Symptoms range from mild Upper Respiratory Tract infection (cough, pharyngitis) to more severe illness including pneumonia. Deaths can also occur. There is no specific treatment available for human Metapneumovirus.

Outbreaks are controlled through infection control procedures, which in aged care facilities includes isolation of suspected cases and limiting visitors, as with other Influenza-like illnesses. Guidelines for influenza outbreaks in Residential aged care facilities are available here: https://www.health.qld.gov.au/dh/documents/cdp/influenzaoutbreakmanagement.pdf

The incubation period for HMPV is 2 to 5 days with the illness lasting on average 8 days. Virus may continue to be excreted for up to 2 weeks after symptoms develop. We have recommended unwell staff from aged care facilities remain off work for at least 5 days from onset of symptoms or 48 hours after symptoms have resolved, whichever is longer. It is recommended that for child care or school that children are excluded until symptoms resolve.

Human Metapneumovirus can be detected with a Nasopharyngeal swab (using a flocked swab) and requesting "Respiratory virus panel". HMPV is not a notifiable disease; however, an Influenza-like illness outbreak (3 cases of ILI in 72 hours) in Residential aged care is notifiable to Tropical Public Health Services. Please contact us if you have any questions.

Yours sincerely,

Dr. Aaron Hollins
Public Health Registrar,
Tropical Public Health Services (Cairns)
34/01/2016

Health Service Investigation
Final Report – Investigation into Human metapneumovirus outbreak at Herberton Hospital,
January 2016
Attachment 2 – hMPV factsheet

Human Metapneumovirus (hMPV)

What is hMPV?
Human Metapneumovirus is a virus that causes infections of the respiratory tract. It can occur year round, but as with other respiratory viruses is more common in winter and spring.

How is it spread?
This virus is similar to other respiratory viruses and is spread by contact with respiratory secretions (cough/sneeze) from infected people. Outbreaks are known to occur in aged care facilities and in child care settings. It is recommended that people are excluded from child care or work until symptoms resolve.

What are the symptoms?
hMPV is usually a mild illness but in some people can cause pneumonia or bronchiolitis. Symptoms develop 3 to 5 days after being infected. Common symptoms include fever, runny nose, cough, hoarse voice or sore throat. In more serious infections it can cause wheezing and difficulty breathing. The illness usually lasts 8 days.

Who is at risk?
Young children, the elderly and people with lowered immune systems are most at risk. Most children develop immunity to hMPV by age 5, but this declines with age.

Treatment
There is no treatment that is specific for hMPV. Treatment is supportive and aimed at reducing the fever and controlling the cough or runny nose.

Prevention
Steps to prevent hMPV infection are similar to those for other respiratory viruses and include:
- stay home when you are sick
- wash your hands regularly with soap and water or use an alcohol based hand gel
- wash your hands prior to touching your eyes, nose and mouth
- use a tissue, or the inside of your arm, when you cough and sneeze
- throw tissues away immediately and wash hands
- don’t share items such as cigarettes, cups, lipstick, toys or anything which has come into contact with the mouth or nose
- stay at least 1 metre away from people who have flu-like symptoms
- clean frequently touched surfaces regularly, such as door handles, taps, tables, benches and fridge doors

All information contained in this sheet has been supplied by appropriately qualified professionals. It is a guideline for care only. Seek medical advice as appropriate, for concerns regarding your health.
Human Metapneumovirus (hMPV)

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Treatment

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### Abbreviations

<table>
<thead>
<tr>
<th>Abbreviation</th>
<th>Description</th>
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<tbody>
<tr>
<td>CDNA</td>
<td>Communicable Diseases Network Australia</td>
</tr>
<tr>
<td>CHHHS</td>
<td>Cairns and Hinterland Hospital and Health Service</td>
</tr>
<tr>
<td>HHS</td>
<td>Hospital and Health Service</td>
</tr>
<tr>
<td>hMPV</td>
<td>Human Metapneumovirus</td>
</tr>
<tr>
<td>NHMRC</td>
<td>National Health and Medical Research Council</td>
</tr>
<tr>
<td>NNDSS</td>
<td>Commonwealth National Notifiable Diseases Surveillance System</td>
</tr>
<tr>
<td>RSV</td>
<td>Respiratory syncytial virus</td>
</tr>
<tr>
<td>TPHS</td>
<td>Tropical Public Health Services (Cairns)</td>
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## Glossary

<table>
<thead>
<tr>
<th>Term</th>
<th>Definition</th>
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<tr>
<td>Adenovirus</td>
<td>Any of a group of DNA viruses first discovered in adenoid tissue, most of which cause respiratory diseases.</td>
</tr>
</tbody>
</table>
| Aged care | Aged care means care of one or more of the following types:  
- Residential care  
- Home care  
- Flexible care |
| Antiviral | An agent that kills a virus or that suppresses its ability to replicate and, hence, inhibits its capability to multiply and reproduce. |
| Cohorting | Grouping together of individuals suspected or confirmed to be infected with the same pathogen within a specific area in order to minimise the risk of transmission between infected and non-infected individuals. |
| Department of Health | When referring to the department only. |
| Droplet precautions | A set of practices used for patients known or suspected to be infected with agent transmitted by respiratory droplets. |
| Epidemiology | The study of the distribution and determinants of health conditions or events among populations and the application of that study to control health problems. |
| Hand hygiene | A general term referring to processes aiming to reduce the number of microorganisms on hands. |
| Healthcare facility | Means a facility at which a declared health service is provided and includes:  
- Mobile premises associated with the facility  
- Other premises or places at which persons employed or otherwise engaged at the facility provide declared health services for the facility |
<p>| Hospital and Health Service | 17 statutory bodies each governed by a Hospital and Health Board |
| Human Metapneumovirus (hMPV) | Human metapneumovirus (hMPV) is a single negative-stranded RNA-enveloped virus classified in the Pneumovirinae subfamily of the Paramyxoviridae family. |
| Incubation period | The time interval between initial exposure to infection and appearance of the first symptom or sign of disease |
| Infectious agent | A biological agent that causes disease or illness to its host (also called a pathogen or germ). |
| Immunocompromised | Having an immune system that has been impaired by disease or treatment |
| Influenza-like illness (ILI) | Influenza-like illness (ILI) is a medical diagnosis of possible influenza or other illness causing a set of common symptoms. |
| Long term care facilities | A range of residential and outpatient facilities designed to meet the bio-psychosocial needs of persons with sustained self-care deficits. |</p>
<table>
<thead>
<tr>
<th><strong>Notifiable condition</strong></th>
<th>A medical condition prescribed under a regulation as a notifiable condition and which requires certain persons to notify the relevant state health department under prescribed conditions</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Outbreak</strong></td>
<td>The occurrence of infections at a rate greater than that expected within a specific geographical area and over a defined period of time</td>
</tr>
<tr>
<td><strong>Pathogen</strong></td>
<td>Organism capable of causing disease</td>
</tr>
<tr>
<td><strong>Personal protective equipment (PPE)</strong></td>
<td>A variety of barriers used alone or in combination to protect mucous membranes, skin and clothing from contact with infectious agents. PPE includes gloves, masks, respirators, protective eyewear, face shields and gowns.</td>
</tr>
<tr>
<td><strong>Queensland Department of Health</strong></td>
<td>The Queensland public sector health department comprising three divisions, two commercialised business units and the Office of the Director-General. Since the national and Queensland health reforms, the service delivery arm of the former department is now made up of 17 Hospital and Health Services which are statutory bodies with Hospital and Health Boards that are accountable to the local community and the Queensland Government.</td>
</tr>
<tr>
<td><strong>Queensland Health</strong></td>
<td>Term used to describe the public sector health system i.e. the Queensland Department of Health and the 17 Hospital and Health Services collectively.</td>
</tr>
<tr>
<td><strong>Respiratory syncytial virus (RSV)</strong></td>
<td>RSV is a virus that causes infections of the lungs and respiratory tract. RSV is a leading cause of lower respiratory tract infections in infants and young children but can also infect adults.</td>
</tr>
<tr>
<td><strong>Standard precautions</strong></td>
<td>Work practices that constitute the first-line approach to infection prevention and control in the healthcare environment. These are recommended for the treatment and care of all patients.</td>
</tr>
<tr>
<td><strong>Symptomatic</strong></td>
<td>Exhibiting or involving medical symptoms</td>
</tr>
<tr>
<td><strong>Virus</strong></td>
<td>A group of infectious agents characterised by their inability to reproduce outside of a living host cell. Viruses may subvert the host cells' normal functions causing the cells to behave in a manner determined by the virus.</td>
</tr>
</tbody>
</table>
References


